ALAMM.002A

TEB 1 1 2002

**PATENT** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant	:	Michael T. Aarons	) Group Art Unit 2131
App. No.	:	09/921,733	)
Filed	:	August 3, 2001	RECEIVED
For	:	METHOD AND APPARATUS FOR SECURE IDENTIFICATION FOR NETWORKED ENVIRONMENTS	FEB 1 5 2002  Technology Center 2100
Examiner	:	Unknown	

## **INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents Washington, D.C. 20231

### Dear Sir:

Enclosed is Form PTO-1449 listing twenty-seven (27) references that are also enclosed. This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

Pursuant to 37 C.F.R. § 1.97(g) and (h), Applicant makes no representation that a search has been made or that the information is considered to be material to patentability. Additionally, inclusion on this list is not an admission that any of the cited documents are prior art in this application. Further, Applicant makes no representation regarding the completeness of this list, nor represents that better art does not exist.

Appl. No.

09/921,733

**Filed** 

August 3, 2001

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

By:

Arthur S. Rose

Registration No. 28,038

Attorney of Record

620 Newport Center Drive, Sixteenth Floor

Newport Beach, CA 92660

(949) 760-0404

H:\DOCS\RXS\RXS-1637.DOC:jmg 013002

	FORM	PTO-1449
--	------	----------

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. ALAMM.002A

APPLICATION NO. 09/921,733

INFORMATION DISCLOSURE STATEMENT
BY APPLICANT

(USE SEVERAL SHEETS IF NECESSARY)

APPLICANT Michael T. Aarons

FILING DATE August 3, 2001 GROUP RECEIVED

FEB 1 5 2002

U.S. PATENT DOCUMENTS Technology Center 2						y Center 2100	
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	1	4,614,861	09/30/1986	Pavlov et al.			
	2	4,641,017	02/03/1987	Lopata			
	3	4,812,633	03/14/89	Vogelgesang, et al.			
	4	4,868,373	09/19/1989	Opheiji et al.			
	5	4,916,687	04/10/90	Endo			——————————————————————————————————————
	6	5,059,774	10/22/91	Kubo, et al.			
	7	5,107,099	04/21/1992	Smith			
	8	5,149,951	09/22/92	Vogelgesang			
	9	5,475,656	12/12/95	Sato et al.			
	10	5,825,292	10/20/98	Tsai et al.			
,	11	5,844,757	12/01/1998	Rose			
	12	5,882,555	03/16/99	Rohde et al.			
	13	5,942,744	08/24/99	Kamo et al.			
	14	6,016,298	01/18/00	Fischer			
	15	6,021,030	02/01/2000	Weinberger			· · · · · · · · · · · · · · · · · · ·
	16	6,179,209	01/30/2001	Goodwin et al.			
	17	6,189,055	02/13/2001	Eisele et al.			· · · · · · · · · · · · · · · · · · ·

FOREIGN PATENT DOCUMENTS								
EXAMINER	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	CLASS	SUBCLASS	TRANSLATION	
INITIAL						YES	NO	
		ļ						
			*					

DATE CONSIDERED

EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

Sŀ	1EE1	r 2 C	)F 2

FEB 1 5 2002

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE FORM PTO-1449 ATTY. DOCKET NO. APPLICATION NO. ALAMM.002A 09/921,733 OIP INFORMATION DISCLOSURE STATEMENT BY APPLICANT FEB 1 1 2002 **APPLICANT** Michael T. Aarons (USE SEVERAL SHEETS IF NEWSSARY) GROUP FILING DATE August 3, 2001 2131

EXAMINER INITIAL		OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES TECHNOLOGY CENTER 2100				
	18 Bank Systems + Technology, March 2000; E-Payment Choices, Internet Debit Emerges as Credit Card Alternative by Russell Redman.					
	19	Results of computer database search using research terms: NYCE & (Safe Debit or Safedebit?) conducted September 1, 2000.				
	20	U. S. Patent Application Publication No. US 2001/0008015 A1 published July 12, 2001 by VU et al.				
	21	U.S. Patent Application Publication No. US 2001/0013023 A1 published August 9, 2001 by OSHIMA et al.				
	22	U.S. Patent Application Publication No. US 2001/0029490 A1 published October 11, 2001 by Inamochi.				
	23	U.S. Patent Application Publication No. US 2001/0039535 A1 published November 8, 2001 by Tsiounis et al.				
	24	U.S. Patent Application Publication No. US 2001/0055132 A1 published December 27, 2001 by OSHIMA et al.				
	25	International Search Report in PCT Application No. PCT/US07/12393 dated July 12, 2001.				
	26	Printout from web site http://www.cdcash.co.kr/english, printed on January 22, 2002.				
	27	Printout from web site http://www.movemoney.com, printed on January 22, 2002.				

H:\DOCS\RXS\RXS-1635.DOC:jmg 013002

EXAMINER

DATE CONSIDERED

2/3/



Case Docket No. ALAMM.002A Date: January 30, 2002

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicant** 

Michael T. Aarons

Appl. No.

09/921,733

Filed

August 3, 2001

For

METHOD AND

APPARATUS FOR SECURE

**IDENTIFICATION FOR** 

NETWORKED ENVIRONMENTS

Examiner

Unknown

Group Art Unit:

2131

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on

January 30, 2002

(Date)

Arthur S. Rose, Reg. No. 28,038

**RECEIVED** 

FEB 1 5 2002

**Technology Center 2100** 

#### TRANSMITTAL LETTER

# ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231

ATTENTION: APPLICATION BRANCH

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) An Information Disclosure Statement.
- (X) A Form PTO-1449 with twenty-seven (27) references.
- (X) The Commissioner is hereby authorized to charge any fees which may be required to Account No. 11-1410.
- (X) Return prepaid postcard.

Arthur S. Rose

Registration No. 28,038 Attorney of Record

H:\DOCS\ASR\ASR-4086.DOC:jmg 013002